

**BEYER WEAVER & THOMAS, LLP**

INTELLECTUAL PROPERTY LAW  
2030 Addison Street, Seventh Floor, Berkeley, CA 94704  
Telephone: (510) 843-6200 Facsimile: (510) 843-6203  
www.beyerlaw.com

RECEIVED  
CENTRAL FAX CENTER  
NOV 12 2004

**OFFICIAL COMMUNICATION****FAX NO.: (703) 872-9306**

Date: November 12, 2004

Sender: Mary Deauclaire, Patent Secretary to Elise R. Heilbrunn

Application No. 09/465,994

Our Ref. No.: SUN1P507/P4152

Re: Foreign references cited separately

Pages Including Cover Sheet(s):

Resending Nov. 12  
PART 1 - 30  
PART 2 - 25

**MESSAGE:**

Attached are:

- 1) copy of Office Communication from Examiner Thomas Pham,  
Art Unit 2121, dated 10/29/04
- 2) New Information Disclosure Statement Transmittal with Certificate of Transmission
- 3) New Form 1449
- 4) copies of 3 (three) cited references
  - a) Second Office Action issued July 9, 2004 for Chinese Patent Application  
No. 00810268.6, filed July 13, 2000
  - b) EP0524071A1
  - c) EP0908821A1

for the above-referenced application.

Please call me directly at (510) 495-3224 if you have any questions.

**CONFIDENTIALITY NOTE**

The information contained in this facsimile (FAX) message is legally privileged and confidential information intended only for the use of the receiver or firm named above. If the reader of this message is not the intended receiver, you are hereby notified that any dissemination, distribution or copying of this FAX is strictly prohibited. If you have received this FAX in error, please immediately notify the sender at the telephone number provided above and return the original message to the sender at the address above via the United States Postal Service. Thank you.

**BEYER WEAVER & THOMAS, LLP**

INTELLECTUAL PROPERTY LAW  
2030 Addison Street, Seventh Floor, Berkeley, CA 94704  
Telephone: (510) 843-6200 Facsimile: (510) 843-6203  
www.beyerlaw.com

**OFFICIAL COMMUNICATION****FAX NO.: (703) 872-9306**

Date: November 12, 2004

Sender: Mary Deauclaire, Patent Secretary to Elise R. Heilbrunn

Application No. 09/465,994

Our Ref No.: SUN1P507/P4152

Re: Foreign references cited separately

Pages Including Cover Sheet(s):

~~1~~ *Resending Nov. 12*  
*PART 1-30*  
*PART 2-25*

**MESSAGE:**

Attached are:

- 1) copy of Office Communication from Examiner Thomas Pham,  
Art Unit 2121, dated 10/29/04
- 2) New Information Disclosure Statement Transmittal with Certificate of Transmission
- 3) New Form 1449
- 4) copies of 3 (three) cited references
  - a) Second Office Action issued July 9, 2004 for Chinese Patent Application  
No. 00810268.6, filed July 13, 2000
  - b) EP0524071A1
  - c) EP0908821A1

for the above-referenced application.

Please call me directly at (510) 495-3224 if you have any questions.

**CONFIDENTIALITY NOTE**

The information contained in this facsimile (FAX) message is legally privileged and confidential information intended only for the use of the receiver or firm named above. If the reader of this message is not the intended receiver, you are hereby notified that any dissemination, distribution or copying of this FAX is strictly prohibited. If you have received this FAX in error, please immediately notify the sender at the telephone number provided above and return the original message to the sender at the address above via the United States Postal Service. Thank you.



## UNITED STATES PATENT AND TRADEMARK OFFICE

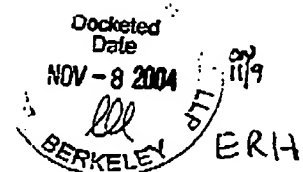
UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/463,994	12/16/1999	TAO YE	SUN1P507	9656
22434	7590	11/03/2004		
BEYER WEAVER & THOMAS LLP P.O. BOX 778 BERKELEY, CA 94704-0778				
EXAMINER PHAM, THOMAS K				
ART UNIT 2121				
PAPER NUMBER				

DATE MAILED: 11/03/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 10/03)



NOV. 12. 2004 4:05PM

BWT LLP (BERKELEY)

NO. 090 P. 3



## UNITED STATES DEPARTMENT OF COMMERCE

## U.S. Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS

P.O. Box 1480

Alexandria, Virginia 22313-1450

APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
---------------------------------	-------------	---------------------------------------------------	---------------------

09/465,994

12/16/1999

YE, et al.

SUNIP507/P4152

EXAMINER

THOMAS PHAM

ART UNIT

PAPER

2121

20041028

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner for Patents

Attached are the PTO-1449s submitted on November 10, 2003 and September 20, 2004.

Applicants need to list the two foreign references cited by the Chinese Patent Office separately in order to obtain consideration.

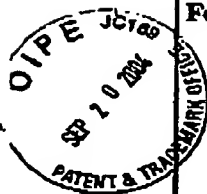
The certification under 37 CFR 1.97(e) must also be made with respect to the references. *Q.E. 10/29/04*

Anthony Knight  
Supervisory Patent Examiner  
Group 3600

Thomas K. Pham

Patent Examiner

PTO-90C (Rev. 04-03)



<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	<b>Atty Docket No. SUN1P507/P4152</b>  <b>Applicant: Ye, et al.</b>  <b>Filing Date 12-16-1999</b>	<b>Application No.: 09/465,994</b>  <b>Group 2121</b>
----------------------------------------------------------------------------------------------------------------------------------	----------------------------------------------------------------------------------------------------------------	-------------------------------------------------------------

**U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A						
	B						

**Foreign Patent or Published Foreign Patent Application**

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
	C						Yes	No
	D							

**Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	E	<u>Second Office Action</u> issued July 9, 2004, citing previously-cited documents: EP0524071A1 and EP0908821A1; Chinese Patent Application No. 00810268.6, filed July 13, 2000, <u>Methods and Apparatus For Managing An Application According To an Application Lifecycle</u> .
	F	
Examiner	<i>Thyram</i>	
	Date Considered	<i>10/28/04</i>

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

---

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

---

In re application of: Ye, et al.

Attorney Docket No.: SUN1P507/P4152

Application No.: 09/465,994

Examiner: T.K. Pham

**RECEIVED**  
**CENTRAL FAX CENTER**

Filed: December 16, 1999

Group: 2121

**NOV 12 2004****Title: METHODS AND APPARATUS FOR  
IMPLEMENTING AN APPLICATION  
LIFECYCLE DESIGN FOR APPLICATIONS****CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is being transmitted via facsimile to the U.S. Patent and Trademark Office, Attention: Thomas K. Pham at facsimile telephone number (703) 872-9306 on November 12, 2004.

Signed: 

Mary Deauclaire

**INFORMATION DISCLOSURE STATEMENT  
AFTER FINAL ACTION OR NOTICE OF ALLOWANCE  
(37 CFR §§ 1.56 AND 1.97(d))**

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449, a copy of which is attached, may be material to examination of the above-identified patent application. Applicants submit this reference in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that this reference indeed constitutes prior art.

This Information Disclosure Statement is being filed after the mailing date of final action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee.

SUN1P507/P4152

-1-

09/465,994

The undersigned hereby states:


☒ that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application no more than three months prior to the filing of the Information Disclosure Statement of September 16, 2004. The two foreign references cited by the Chinese Patent Office were listed in the Information Disclosure Statement of September 16, 2004, as part of the description of the Second Office Action issued July 9, 2004. This Information Disclosure Statement fulfills the Examiner's request to list the two foreign references separately, in order to obtain consideration, or

☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

If it is determined that any fees are due in payment of the Information Disclosure Statement, the Commissioner is hereby authorized to charge such fees to Deposit Account 500388 (Order No. SUN1P507).

Respectfully submitted,

BEYER WEAVER & THOMAS, LLP



Elise R. Heilbrunn

Registration No. 42,649

P.O. Box 778  
Berkeley, CA 94704-0778  
(510) 843-6200

<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	<b>Atty Docket</b> No.SUN1P507/P4152	<b>Application No.:</b> 09/465,994
	<b>Applicant:</b> Ye, et al.	<b>Filing Date</b> 12-16-1999 <b>Group</b> 2121

#### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A						

#### Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	B1	EP0524071A1	January 20, 1993	EP	G06F	9/46	X	
	B2	EP0908821A1	April 14, 1999	EP	G06F	9/455		X

#### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	C1	<u>Second Office Action</u> issued July 9, 2004, for Chinese Patent Application No. 00810268.6, filed July 13, 2000, <i>Methods and Apparatus For Managing An Application According To an Application Lifecycle.</i>
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance, and not considered. Include copy of this form with next communication to applicant.